

# ARKANSAS STATE LIBRARY



## Agency Certification Form For Depositing Rules At the Arkansas State Library



DIGITAL SERVICES, ARKANSAS STATE LIBRARY  
900 West Capitol Avenue, Suite 100  
Little Rock, AR 72201  
501-682-2550  
aslib-govdocs@ade.arkansas.gov

For Office Use Only

**RECEIVED**

By Arkansas State Library at 8:08 am, Nov 21, 2023

Classification Number:

Name of Agency:

Division/Department/Office:

Department of Finance and Administration

Office of the Arkansas Lottery

Contact Person:

Telephone:

Brent Standridge, OAL Chief Legal Counsel

501.683.2031

Statutory Authority for Promulgating Rules:

ACA 23-115-207(a)&(b),; 23-115-205(a)(26); 23-115-(a)(2); 23-115103(15)(B); 23-115-612

Title of Rule:

Amendments to the OAL Operational and Retailer Rules

Rule Status	Date Adopted by Agency	Effective Date
Amended <input type="checkbox"/>	08/23/2023 MM DD YYYY*	<input checked="" type="radio"/> 10 Days After Filing <input type="radio"/> Other: _____ <small>if other, specify date</small>

*Use drop-down to select different status*

Rule above is proposed and will be replaced by final version

Financial and/or Fiscal Impact Statement Attached

## Certification of Authorized Officer

I hereby certify that the attached rules were adopted in compliance with Act 434 of 1967 as amended.

Signature: \_\_\_\_\_

Date: 11.20.2023

Title: OAL Chief Legal Counsel

**FINANCIAL IMPACT STATEMENT**

**PLEASE ANSWER ALL QUESTIONS COMPLETELY.**

**DEPARTMENT** Department of Finance and Administration  
**BOARD/COMMISSION** Office of the Arkansas Lottery  
**PERSON COMPLETING THIS STATEMENT** Brent Standridge, OAL Chief Legal Counsel  
**TELEPHONE NO.** (501) 683-2031 **EMAIL** brent.standridge@arkansas.gov

To comply with Ark. Code Ann. § 25-15-204(e), please complete the Financial Impact Statement and email it with the questionnaire, summary, markup and clean copy of the rule, and other documents. Please attach additional pages, if necessary.

**TITLE OF THIS RULE** Amendments to the OAL Operational and Retailer Rules

1. Does this proposed, amended, or repealed rule have a financial impact?  
Yes  No
  
2. Is the rule based on the best reasonably obtainable scientific, technical, economic, or other evidence and information available concerning the need for, consequences of, and alternatives to the rule?  
Yes  No
  
3. In consideration of the alternatives to this rule, was this rule determined by the agency to be the least costly rule considered? Yes  No   
  
If no, please explain:  
  
(a) how the additional benefits of the more costly rule justify its additional cost;  
  
(b) the reason for adoption of the more costly rule;  
  
(c) whether the reason for adoption of the more costly rule is based on the interests of public health, safety, or welfare, and if so, how; and  
  
(d) whether the reason for adoption of the more costly rule is within the scope of the agency's statutory authority, and if so, how.
  
4. If the purpose of this rule is to implement a *federal* rule or regulation, please state the following:  
  
(a) What is the cost to implement the federal rule or regulation?

**Current Fiscal Year**

General Revenue \_\_\_\_\_  
Federal Funds \_\_\_\_\_  
Cash Funds \_\_\_\_\_  
Special Revenue \_\_\_\_\_  
Other (Identify) \_\_\_\_\_

Total \$ 0.00 \_\_\_\_\_

**Next Fiscal Year**

General Revenue \_\_\_\_\_  
Federal Funds \_\_\_\_\_  
Cash Funds \_\_\_\_\_  
Special Revenue \_\_\_\_\_  
Other (Identify) \_\_\_\_\_

Total \$ 0.00 \_\_\_\_\_

(b) What is the additional cost of the state rule?

**Current Fiscal Year**

General Revenue \_\_\_\_\_  
Federal Funds \_\_\_\_\_  
Cash Funds \_\_\_\_\_  
Special Revenue \_\_\_\_\_  
Other (Identify) \_\_\_\_\_

Total \$ 0.00 \_\_\_\_\_

**Next Fiscal Year**

General Revenue \_\_\_\_\_  
Federal Funds \_\_\_\_\_  
Cash Funds \_\_\_\_\_  
Special Revenue \_\_\_\_\_  
Other (Identify) \_\_\_\_\_

Total \$ 0.00 \_\_\_\_\_

5. What is the total estimated cost by fiscal year to any private individual, private entity, or private business subject to the proposed, amended, or repealed rule? Please identify those subject to the rule, and explain how they are affected.

**Current Fiscal Year**

\$ 0.00 \_\_\_\_\_

**Next Fiscal Year**

\$ 0.00 \_\_\_\_\_

6. What is the total estimated cost by fiscal year to a state, county, or municipal government to implement this rule? Is this the cost of the program or grant? Please explain how the government is affected.

**Current Fiscal Year**

\$ 0.00 \_\_\_\_\_

**Next Fiscal Year**

\$ 0.00 \_\_\_\_\_

7. With respect to the agency's answers to Questions #5 and #6 above, is there a new or increased cost or obligation of at least one hundred thousand dollars (\$100,000) per year to a private individual, private entity, private business, state government, county government, municipal government, or to two (2) or more of those entities combined?

Yes  No

If yes, the agency is required by Ark. Code Ann. § 25-15-204(e)(4) to file written findings at the time of filing the financial impact statement. The written findings shall be filed simultaneously with the financial impact statement and shall include, without limitation, the following:

- (1) a statement of the rule's basis and purpose;
- (2) the problem the agency seeks to address with the proposed rule, including a statement of whether a rule is required by statute;
- (3) a description of the factual evidence that:
  - (a) justifies the agency's need for the proposed rule; and
  - (b) describes how the benefits of the rule meet the relevant statutory objectives and justify the rule's costs;
- (4) a list of less costly alternatives to the proposed rule and the reasons why the alternatives do not adequately address the problem to be solved by the proposed rule;
- (5) a list of alternatives to the proposed rule that were suggested as a result of public comment and the reasons why the alternatives do not adequately address the problem to be solved by the proposed rule;
- (6) a statement of whether existing rules have created or contributed to the problem the agency seeks to address with the proposed rule and, if existing rules have created or contributed to the problem, an explanation of why amendment or repeal of the rule creating or contributing to the problem is not a sufficient response; and
- (7) an agency plan for review of the rule no less than every ten (10) years to determine whether, based upon the evidence, there remains a need for the rule including, without limitation, whether:
  - (a) the rule is achieving the statutory objectives;
  - (b) the benefits of the rule continue to justify its costs; and
  - (c) the rule can be amended or repealed to reduce costs while continuing to achieve the statutory objectives.